

# ACKNOWLEDGEMENT RECEIPT

Electronic Version

Stylesheet Version v01

| <b>Title of Invention</b>  | <b>ENVIRONMENTAL BARRIER COATING FOR SILICON-CONTAINING SUBSTRATES AND PROCESS THEREFOR</b>   |              |                          |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
|--|---|--------------|--------------------------|---------|-------|---|-------|------|--------|---|-----------|-----------|--------------|--------------------------|------------|--------------------------|------|------------|------------|----------------|-------|------------|------------|----------------|-------|------------|--------------|---------------------------|------|------------|--------------|------------------|-------|------------|--------------|------------------|-------|------------|----------------|---------------------------|------|------------|----------------|--------------------|------|------------|----------------|--------------------|-------|------------|--------|---------------------------|------|------------|--------|------------|------|------------|--------|------------|-------|------------|----------------------------|---------------------------|------|------------|----------------------------|--------------------------------|------|------------|----------------------------|--------------------------------|-------|------------|------------------|--------------------------|-------|------------|------------------|-------------------------|-------|------------|------------------|----------------------|-------|------------|------------------|----------|------|------------|------------------|-------------|-------|------------|
| <p>Submission Type : Utility Patent Filing</p> <p>Application Number: 10/709288 </p> <p>EFS ID: 59790</p> <p>Server Response:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;">Confirmation Code</th> <th style="width: 75%;">Message</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">ISVR1</td> <td style="padding: 5px;">Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application</td> </tr> <tr> <td style="padding: 5px;">ICON1</td> <td style="padding: 5px;">3287</td> </tr> <tr> <td style="padding: 5px;">IGEN77</td> <td style="padding: 5px;">Filename= N/A BusinessRule= The access code is not included which allows for automated processing to the deposit account. #Supporting Msg:Either &lt;us-access-code&gt; not found in fee-xml OR actual access code not provided in the fucntion call.</td> </tr> </tbody> </table> <p>First Named Applicant: Irene Spitsberg</p> <p>Attorney Docket Number: 133323</p> <p>Timestamp: 2004-04-27 12:39:36 EDT</p> <p>From: US</p> <p>File Listing:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Doc. Name</th> <th style="width: 40%;">File Name</th> <th style="width: 10%;">Size (Bytes)</th> <th style="width: 20%;">Date Produced (yyyymmdd)</th> </tr> </thead> <tbody> <tr><td>us-request</td><td>HartmanA3-1650-usreq.xml</td><td>3033</td><td>2004-04-27</td></tr> <tr><td>us-request</td><td>us-request.dtd</td><td>19064</td><td>2004-04-27</td></tr> <tr><td>us-request</td><td>us-request.xsl</td><td>33300</td><td>2004-04-27</td></tr> <tr><td>us-fee-sheet</td><td>HartmanA3-1650-usfees.xml</td><td>1686</td><td>2004-04-27</td></tr> <tr><td>us-fee-sheet</td><td>us-fee-sheet.xsl</td><td>24912</td><td>2004-04-27</td></tr> <tr><td>us-fee-sheet</td><td>us-fee-sheet.dtd</td><td>11069</td><td>2004-04-27</td></tr> <tr><td>us-declaration</td><td>HartmanA3-1650-usdecl.xml</td><td>1352</td><td>2004-04-27</td></tr> <tr><td>us-declaration</td><td>us-declaration.dtd</td><td>3833</td><td>2004-04-27</td></tr> <tr><td>us-declaration</td><td>us-declaration.xsl</td><td>10015</td><td>2004-04-27</td></tr> <tr><td>us-ids</td><td>HartmanA3-1650-usidst.xml</td><td>1646</td><td>2004-04-27</td></tr> <tr><td>us-ids</td><td>us-ids.dtd</td><td>7763</td><td>2004-04-27</td></tr> <tr><td>us-ids</td><td>us-ids.xsl</td><td>12026</td><td>2004-04-27</td></tr> <tr><td>us-power-of-attorney-grant</td><td>HartmanA3-1650-uspoat.xml</td><td>1636</td><td>2004-04-27</td></tr> <tr><td>us-power-of-attorney-grant</td><td>us-power-of-attorney-grant.dtd</td><td>4923</td><td>2004-04-27</td></tr> <tr><td>us-power-of-attorney-grant</td><td>us-power-of-attorney-grant.xls</td><td>12448</td><td>2004-04-27</td></tr> <tr><td>application-body</td><td>specifications-trans.xml</td><td>51874</td><td>2004-04-27</td></tr> <tr><td>application-body</td><td>us-application-body.xls</td><td>83497</td><td>2004-04-27</td></tr> <tr><td>application-body</td><td>application-body.dtd</td><td>49498</td><td>2004-04-27</td></tr> <tr><td>application-body</td><td>wipo.ent</td><td>4956</td><td>2004-04-27</td></tr> <tr><td>application-body</td><td>mathml2.dtd</td><td>54588</td><td>2004-04-27</td></tr> </tbody> </table> |   |              | Confirmation Code        | Message | ISVR1 | Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application | ICON1 | 3287 | IGEN77 | Filename= N/A BusinessRule= The access code is not included which allows for automated processing to the deposit account. #Supporting Msg:Either <us-access-code> not found in fee-xml OR actual access code not provided in the fucntion call. | Doc. Name | File Name | Size (Bytes) | Date Produced (yyyymmdd) | us-request | HartmanA3-1650-usreq.xml | 3033 | 2004-04-27 | us-request | us-request.dtd | 19064 | 2004-04-27 | us-request | us-request.xsl | 33300 | 2004-04-27 | us-fee-sheet | HartmanA3-1650-usfees.xml | 1686 | 2004-04-27 | us-fee-sheet | us-fee-sheet.xsl | 24912 | 2004-04-27 | us-fee-sheet | us-fee-sheet.dtd | 11069 | 2004-04-27 | us-declaration | HartmanA3-1650-usdecl.xml | 1352 | 2004-04-27 | us-declaration | us-declaration.dtd | 3833 | 2004-04-27 | us-declaration | us-declaration.xsl | 10015 | 2004-04-27 | us-ids | HartmanA3-1650-usidst.xml | 1646 | 2004-04-27 | us-ids | us-ids.dtd | 7763 | 2004-04-27 | us-ids | us-ids.xsl | 12026 | 2004-04-27 | us-power-of-attorney-grant | HartmanA3-1650-uspoat.xml | 1636 | 2004-04-27 | us-power-of-attorney-grant | us-power-of-attorney-grant.dtd | 4923 | 2004-04-27 | us-power-of-attorney-grant | us-power-of-attorney-grant.xls | 12448 | 2004-04-27 | application-body | specifications-trans.xml | 51874 | 2004-04-27 | application-body | us-application-body.xls | 83497 | 2004-04-27 | application-body | application-body.dtd | 49498 | 2004-04-27 | application-body | wipo.ent | 4956 | 2004-04-27 | application-body | mathml2.dtd | 54588 | 2004-04-27 |
| Confirmation Code  | Message   |              |                          |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| ISVR1  | Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application   |              |                          |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| ICON1  | 3287  |              |                          |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
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| Doc. Name  | File Name   | Size (Bytes) | Date Produced (yyyymmdd) |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| us-request   | HartmanA3-1650-usreq.xml  | 3033         | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| us-request   | us-request.dtd  | 19064        | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| us-request   | us-request.xsl  | 33300        | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| us-fee-sheet   | HartmanA3-1650-usfees.xml   | 1686         | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| us-fee-sheet   | us-fee-sheet.xsl  | 24912        | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| us-fee-sheet   | us-fee-sheet.dtd  | 11069        | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| us-declaration   | HartmanA3-1650-usdecl.xml   | 1352         | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| us-declaration   | us-declaration.dtd  | 3833         | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| us-declaration   | us-declaration.xsl  | 10015        | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| us-ids   | HartmanA3-1650-usidst.xml   | 1646         | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| us-ids   | us-ids.dtd  | 7763         | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| us-ids   | us-ids.xsl  | 12026        | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| us-power-of-attorney-grant   | HartmanA3-1650-uspoat.xml   | 1636         | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| us-power-of-attorney-grant   | us-power-of-attorney-grant.dtd  | 4923         | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| us-power-of-attorney-grant   | us-power-of-attorney-grant.xls  | 12448        | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| application-body   | specifications-trans.xml  | 51874        | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| application-body   | us-application-body.xls   | 83497        | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| application-body   | application-body.dtd  | 49498        | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| application-body   | wipo.ent  | 4956         | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |
| application-body   | mathml2.dtd   | 54588        | 2004-04-27               |         |       |   |       |      |        |   |           |           |              |                          |            |                          |      |            |            |                |       |            |            |                |       |            |              |                           |      |            |              |                  |       |            |              |                  |       |            |                |                           |      |            |                |                    |      |            |                |                    |       |            |        |                           |      |            |        |            |      |            |        |            |       |            |                            |                           |      |            |                            |                                |      |            |                            |                                |       |            |                  |                          |       |            |                  |                         |       |            |                  |                      |       |            |                  |          |      |            |                  |             |       |            |

| Doc. Name        | File Name               | Size (Bytes) | Date Produced<br>(yyyymmdd) |
|------------------|-------------------------|--------------|-----------------------------|
| application-body | mathml2-qname-1.mod     | 13225        | 2004-04-27                  |
| application-body | isoamsa.ent             | 5191         | 2004-04-27                  |
| application-body | isoamsb.ent             | 3988         | 2004-04-27                  |
| application-body | isoamsc.ent             | 1460         | 2004-04-27                  |
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| application-body | isoamsr.ent             | 7073         | 2004-04-27                  |
| application-body | isogrk3.ent             | 3559         | 2004-04-27                  |
| application-body | isomfrk.ent             | 4553         | 2004-04-27                  |
| application-body | isomopf.ent             | 2571         | 2004-04-27                  |
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| application-body | isotech.ent             | 5268         | 2004-04-27                  |
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| package-data     | HartmanA3-1650-pkda.xml | 8634         | 2004-04-27                  |
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